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PTO/SB/05 (2/98)

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.

15-4-296.53

First Inventor or Application Identifier

Steven J. Yohanan

Title

Graphical Method And System For Accessing Information On A Communications Network

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \* Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total Pages 22 ]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4 ]
4. ☒ Oath or Declaration [Total Pages 2 ]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b).
5. ☒ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ \*Small Entity Statement(s) (PTO/SB/09-12) ☐ Statement filed in prior application, Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☒ Other: 37 C.F.R. § 1.136(a)(3) Authorization
- ☐ Other:

\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27). EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☒ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP) of prior application No: 09/201,815  
Prior application information: Examiner S. Sax Group/Art Unit: 2773

## 18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

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	Attorneys at Law				
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CITY	Washington	STATE	DC	ZIP CODE	20005-3934
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NAME (Print/Type)	Jeffrey R. Kurin	Registration No. (Attorney/Agent)	41,132
SIGNATURE	<i>Jeffrey R. Kurin</i>	Date	4/25/00

Burden Hour Statement: this form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.**

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\*\*REGISTERED PATENT AGENTS

April 25, 2000

WRITER'S DIRECT NUMBER:  
(202) 789-5524

INTERNET ADDRESS:  
JKURIN@SKGF.COM

Commissioner for Patents  
Washington, D.C. 20231

**Box Patent Application**

Re: U.S. Continuation Non-Provisional Utility Patent Application  
under 37 C.F.R. § 1.53(b) of Appl. No. 09/201,815; Filed: December 1, 1998  
Appl. No. (to be assigned); Filed: Herewith  
For: **Graphical Method And System For Accessing Information On A  
Communications Network**  
Inventor: Steven J. Yohanan  
Our Ref: 15-4-296.53

Sir:

The following documents are forwarded herewith for appropriate action by the U.S.  
Patent and Trademark Office:

1. PTO Fee Transmittal Form PTO/SB/17 (in duplicate);
2. PTO Utility Patent Application Transmittal Form (PTO/SB/05);
3. U.S. Continuation Utility Patent Application entitled:

**Graphical Method And System For Accessing Information On A  
Communications Network**

and naming as inventor:

Steven J. Yohanan

the application consisting of:

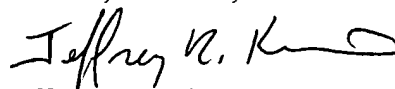
Commissioner for Patents  
April 25, 2000  
Page 2

- a. A specification containing:
    - i. 20 pages of description prior to the claims;
    - ii. 1 page of claims (2 claims);
    - iii. a one (1) page abstract;
  - b. 4 sheets of drawings: (Figures 1-5); and
  - c. A copy of the executed combined Declaration and Power of Attorney, as originally filed in U.S. Appl. No. 09/201,815;
4. An Authorization to Treat a Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3) (in duplicate);
  5. A Preliminary Amendment;
  6. An Information Disclosure Statement (IDS);
  7. Form PTO-1449 (3 pages) without cited documents;
  8. Three (3) return postcards; and
  9. Our check No. 27338 for \$ to cover:  
\$ 690.00 Filing fee for patent application.

It is respectfully requested that, of the three attached postcards, two be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Jeffrey R. Kurin  
Attorney for Applicant  
Registration No. 41,132

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<b>FEE TRANSMITTAL</b> for FY 2000 <i>Patent fees are subject to annual revision.</i> <i>Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.</i> <i>See 37 C.F.R. §§ 1.27 and 1.28.</i>		<b>Complete if Known</b>	
		Application Number	(to be assigned)
		Filing Date	Herewith
		First Named Inventor	Steven J. Yohanan
		Examiner Name	(to be assigned)
		Group / Art Unit	(to be assigned)
		Attorney Docket Number	15-4-296.53
TOTAL AMOUNT OF PAYMENT		(\$) <b>690.00</b>	

<b>METHOD OF PAYMENT (check one)</b>  1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:  <div style="border: 1px solid black; padding: 2px; margin: 5px 0;">           Deposit Account Number: 19-0036            Deposit Account Name: Sterne, Kessler, Goldstein &amp; Fox P.L.L.C.         </div> <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17  2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other* <small>*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.</small>  <b>FEE CALCULATION</b>  <b>1. BASIC FILING FEE</b> <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>690</td> <td>201</td> <td>345</td> <td>Utility filing fee</td> <td>\$690.00</td> </tr> <tr> <td>106</td> <td>310</td> <td>206</td> <td>155</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>107</td> <td>480</td> <td>207</td> <td>240</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>108</td> <td>690</td> <td>208</td> <td>334</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>114</td> <td>150</td> <td>214</td> <td>75</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (1) (\$)</td> <td>690.00</td> </tr> </tbody> </table> <b>2. EXTRA CLAIM FEES</b> <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Extra</th> <th>Fee from below</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Total Claims <u>2</u> - 20** = <u>0</u></td> <td>X \$18.00</td> <td>= 0</td> </tr> <tr> <td>Indep. Claims <u>1</u> - 3** = <u>0</u></td> <td>X \$78.00</td> <td>= 0</td> </tr> <tr> <td>Multiple Dependent</td> <td>\$260.00</td> <td>= 0</td> </tr> </tbody> </table> <small>** or number previously paid, if greater; For Reissues, see below</small> <table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th>Large Fee Code</th> <th>Entity Fee (\$)</th> <th>Small Fee Code</th> <th>Entity Fee (\$)</th> <th>Fee Description</th> </tr> </thead> <tbody> <tr> <td>103</td> <td>18</td> <td>203</td> <td>9</td> <td>Claims in excess of 20</td> </tr> <tr> <td>102</td> <td>78</td> <td>202</td> <td>39</td> <td>Independent claims in excess of 3</td> </tr> <tr> <td>104</td> <td>260</td> <td>204</td> <td>130</td> <td>Multiple dependent claim</td> </tr> <tr> <td>108</td> <td>78</td> <td>209</td> <td>39</td> <td>**Reissue independent claims over original patent</td> </tr> <tr> <td>110</td> <td>18</td> <td>210</td> <td>9</td> <td>**Reissue claims in excess of 20 and over original patent</td> </tr> <tr> <td colspan="5" style="text-align: right;">SUBTOTAL (2) (\$)</td> </tr> </tbody> </table>	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	101	690	201	345	Utility filing fee	\$690.00	106	310	206	155	Design filing fee		107	480	207	240	Plant filing fee		108	690	208	334	Reissue filing fee		114	150	214	75	Provisional filing fee		SUBTOTAL (1) (\$)					690.00	Extra	Fee from below	Fee Paid	Total Claims <u>2</u> - 20** = <u>0</u>	X \$18.00	= 0	Indep. 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unavoidable</td> <td></td> </tr> <tr> <td>141</td> <td>1,210</td> <td>241</td> <td>605</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>142</td> <td>1,210</td> <td>242</td> <td>605</td> <td>Utility issue fee (or reissue)</td> <td></td> </tr> <tr> <td>143</td> <td>430</td> <td>243</td> <td>215</td> <td>Design issue fee</td> <td></td> </tr> <tr> <td>144</td> <td>580</td> <td>244</td> <td>290</td> <td>Plant issue fee</td> <td></td> </tr> <tr> <td>122</td> <td>130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>123</td> <td>50</td> <td>123</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>126</td> <td>240</td> <td>126</td> <td>240</td> <td>Submission of Information Disclosure Stmt</td> <td></td> </tr> <tr> <td>581</td> <td>40</td> <td>581</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>146</td> <td>760</td> <td>246</td> <td>380</td> <td>Filing a submission after final rejection (37 CFR 1.129(a))</td> <td></td> </tr> <tr> <td>149</td> <td>760</td> <td>249</td> <td>380</td> <td>For each additional invention to be examined (37 CFR 1.129(b))</td> <td></td> </tr> </tbody> </table> <p>Other fee (specify) :          Other fee (specify) :          *Reduced by Basic Filing Fee Paid</p> <p style="text-align: right;">SUBTOTAL (3) (\$) 0.00</p>	Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65	Surcharge - late filing fee or oath		127	50	227	25	Surcharge - late provisional filing fee or cover sheet		139	130	139	130	Non-English specification		147	2,520	147	2,520	For filing a request for reexamination		112	920*	112	920*	Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action		115	110	215	55	Extension for reply within first month		116	380	216	190	Extension for reply within second month		117	870	217	435	Extension for reply within third month		118	1,360	218	680	Extension for reply within fourth month		128	1,850	228	925	Extension for reply within fifth month		119	300	219	150	Notice of Appeal		120	300	220	150	Filing a brief in support of an appeal		121	260	221	130	Request for oral hearing		138	1,510	138	1,510	Petition to institute a public use proceeding		140	110	240	55	Petition to revive - unavoidable		141	1,210	241	605	Petition to revive - unintentional		142	1,210	242	605	Utility issue fee (or reissue)		143	430	243	215	Design issue fee		144	580	244	290	Plant issue fee		122	130	122	130	Petitions to the Commissioner		123	50	123	50	Petitions related to provisional applications		126	240	126	240	Submission of Information Disclosure Stmt		581	40	581	40	Recording each patent assignment per property (times number of properties)		146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))		149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	
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115	110	215	55	Extension for reply within first month																																																																																																																																																																																																																																																								
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117	870	217	435	Extension for reply within third month																																																																																																																																																																																																																																																								
118	1,360	218	680	Extension for reply within fourth month																																																																																																																																																																																																																																																								
128	1,850	228	925	Extension for reply within fifth month																																																																																																																																																																																																																																																								
119	300	219	150	Notice of Appeal																																																																																																																																																																																																																																																								
120	300	220	150	Filing a brief in support of an appeal																																																																																																																																																																																																																																																								
121	260	221	130	Request for oral hearing																																																																																																																																																																																																																																																								
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																								
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																																																																																								
141	1,210	241	605	Petition to revive - unintentional																																																																																																																																																																																																																																																								
142	1,210	242	605	Utility issue fee (or reissue)																																																																																																																																																																																																																																																								
143	430	243	215	Design issue fee																																																																																																																																																																																																																																																								
144	580	244	290	Plant issue fee																																																																																																																																																																																																																																																								
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																																																																								
123	50	123	50	Petitions related to provisional applications																																																																																																																																																																																																																																																								
126	240	126	240	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																								
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																								
146	760	246	380	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																																																																																																																																																																																								
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																																																																								

<b>SUBMITTED BY</b>				<b>Complete (if applicable)</b>	
Typed or Printed Name	Jeffrey R. Kurin Attorney for Applicant			Reg. Number	41,132
Signature		Date	4/25/00	Deposit Acct. User ID	

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